NQF Level 6 | INSETA

Financial Advisor

Provide comprehensive financial advisory services including investments, retirement planning, and wealth management. This advanced qualification prepares you for senior advisory roles in the financial services industry.

515	36	NQF 6
Credits	Months	Level

Qualification Overview

This Financial Advisor qualification provides comprehensive training in investment advisory services, retirement planning, and wealth management. The program combines theoretical knowledge with practical skills needed to provide professional financial advice and support complex client needs.

Learning Outcomes

Upon completion of this qualification, learners will be able to:

- Analyse client long-term wealth creation and protection needs
- Evaluate investment opportunities and conduct due diligence
- Provide advice on retirement funds and employee benefits
- Advise on health care benefits and medical aid programs
- Facilitate implementation of investment decisions
- Apply regulatory requirements for insurance and investments

Core Modules

Module 1: Introduction to the Investment Advisory Industry

- Overview of the Investment Advisory Industry
- Fundamentals of Investments

Module 2: Principles of Providing Advice

- Regulatory requirements for advising clients regarding insurance and investments
- Principles of providing advice to clients regarding insurance, savings, and retirement planning
- Principles of providing advice to clients regarding investments

Module 3: Analysis and Evaluation of Investment Opportunities

- Analyse clients' long-term wealth creation and protection needs
- Evaluate available long-term wealth creation and protection options
- Research, analyse, and conduct due diligence of investment opportunities

Module 4: Retirement Funds and Employee Benefits

- Principles of providing advice to clients regarding the use of employee and pension fund benefits
- Analyse client organisations' needs relating to retirement funds and employee benefits
- Evaluate the availability of various employee benefit and retirement fund options and structures
- Advise clients on the most appropriate structuring of retirement funds and employee benefits
- Support the provisioning of advice to clients on employee benefits and retirement structures

Qualification Details

SETA	**INSETA**
NQF Level	**Level 6**
Credits	**515 Credits**
Duration	**36 Months**
SAQA ID	**105026**

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Career Opportunities

- Senior Financial Advisor
- Investment Consultant
- Wealth Management Advisor
- Retirement Planning Specialist
- Employee Benefits Consultant
- Financial Planning Manager

Need Help?

Contact our qualification specialists for guidance.

help@speccon.co.za

Other INSETA Qualifications

Explore other INSETA-accredited qualifications

NQF Level 5

Health Care Benefits Advisor

NQF Level 5

Insurance Underwriter

NQF Level 4

Insurance Claims Administrator/Assessor

NQF Level 4

Long Term Insurance Advisor

Ready to Become a Financial Advisor?

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